

10/593271  
AP12 Reg. 10/18 SEP 2006

Docket No.: 4991-0114PUS1  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Hidetoshi SAITO et al.

Application No.: NEW

Confirmation No.: N/A

Filed: September 18, 2006

Art Unit: N/A

For: ALUMINATE PHOSPHOR AND PROCESS  
FOR PRODUCING THE SAME

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A summary/abstract translation of the non-English language references is enclosed.

US Patent No. 6,501,100 corresponds to JP Patent No. 2003-533852. US Patent No. 5,424,006 corresponds to JP Patent No. 7-11250. US Patent No. 6,527,978 corresponds to JP Patent No. 2001-240856. US Patent No. 4,216,408 corresponds to JP Patent No. 52-22836.

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In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

A concise explanation of relevance of the items listed on form PTO/SB/08 is (1) given and (2) in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

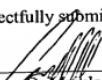
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: September 18, 2006

Respectfully submitted,

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11-12 Rec'd PGCT 7/7/06 18 SEP 2006

PTO/SB/08a/b (07-06)

Approved for use through 09/30/2006. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO				<i>Complete if Known</i>	
				Application Number	NEW
				Filing Date	September 18, 2006
				First Named Inventor	Hidetoshi SAITO
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	4991-0114PUS1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA*	US-6,501,100-B1		12-31-2002	Srivastava et al.	
AB*	US-4,216,408		08-05-1980	Verstegeet et al.	
AC*	US-6,527,978-B2		03-04-2003	Ueda et al.	
AD*	US-5,424,006-A		06-13-1995	Murayama et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number-Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BA	JP-2003-292949-A		10-15-2003		ISR
BB	JP-10-273656-A		10-13-1998		ISR
BC	JP-2000-63823-A		02-29-2000		ISR
BD	JP-2003-238955-A		08-27-2003		ISR
BE	JP-2003-533852-A		11-11-2003		ISR
BF	JP-2004-224830-A		08-12-2004		ISR
BG	JP-52-22836		06-20-1977		ABS
BH	JP-8-115673-A		05-07-1996		ABS
BI	JP-2000-226574-A		08-15-2000		ABS
BJ	JP-2001-240856-A		09-04-2001		ABS
BK	JP-6-240252-A		08-30-1994		ABS
BL	JP-2000-290647-A		10-17-2000		ABS
BM	JP-7-11250-A		01-13-1995		ABS
BN	JP-8-73845-A		03-19-1996		ABS

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Cite No.: The citation number assigned by the Office to the reference cited. <sup>2</sup>Kind code: The Cite No. are not supplied (under 37 CFR 1.59(e)(2)(ii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>3</sup>Applicant's unique citation designation number (optional). <sup>4</sup>Kind code of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>5</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>6</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>7</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
CA		Dong WANG et al., Journal of Materials Science, vol. 37, 2002, pages 381 to 383			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered	ADM/smt
Birch, Stewart, Kolasch & Birch, LLP		